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Keywords: UML Usage, Survey, Empirical Study

Abstract:

UML Activity diagrams offer a very large set of constructs, however many of them seem scarcely used or even their existence is not known. Here, we present a precise view of the usage levels of these constructs by means of a survey, covering preliminarily books, courses, tutorials, and tools about UML. Results show that, among the 47 Activity diagrams constructs, a large majority of them seem to be scarcely used, while, only nine result widely used. This work is part of a larger project aimed at investigating the usage level of the UML diagrams and their constructs, also by means of a personal opinion survey intended for UML users. UML is really a huge notation, and as consequence, on one hand, it is difficult and time consuming to master it, and on the other hand, people tend, naturally, to consider only a part of it; by means of this empirical study we want to assess what are the most/less used UML diagrams/constructs.

1 Introduction

UML is a truly large notation offering many different diagrams, 14 in the last approved version (UML) Revision Task Force, 2011), and for each diagram it provides a large set of constructs covering any possible need of any modeller for any possible task. As a result, the UML specification is a huge book (732 pages), the UML metamodel is large and quite complex, and the definition and the understanding of its static and dynamic semantics is a truly difficult task, with also the consequence to make difficult to teach it both at the school/university level or in the industry (Grossman et al., 2005). Moreover, the large number of constructs and the consequent very large metamodel make complex and time consuming developing transformations of UML models and building tools for the UML. Clearly, these UML features have a negative impact on how the UML is perceived by the modellers hindering its adoption (Petre, 2013), and leading in some cases to replace the UML by ad-hoc lean and simple DSLs (Domain Specific Languages).

On the other hand, users naturally tend to consider and use only a portion of its diagrams/constructs, and forgetting about some other ones. On his blog I. Jacobson states "For 80% of all software only 20% of UML is needed" (Jacobson, 2009). Furthermore, few people try to learn the UML by reading its specification (UML Revision Task Force, 2011), instead the large majority of the users rely on books and courses/

tutorials or just starts to use some tools for drawing UML diagrams that in general do not cover the whole UML. For this reason, in many cases, the UML users will never become aware of the existence of many specific constructs (e.g., how many of you do know the existence of the "Parameter Set" for activities or has even used this construct?).

We would like to asses by means of a survey which parts of the UML (diagrams and constructs) are the most used in practice and which the less ones (and thus also indirectly which part of the UML is really known), using again the words of Jacobson trying to see if an "essential UML" (Jacobson, 2009) emerges. To discover how much a UML diagram/construct is used, we chose to preliminarily investigate objective sources: (1) the books about the UML, (2) the IT University courses covering also the UML, (3) the tutorials presenting the UML to the practitioners, and (4) the tools for producing UML models. Later, similarly to (Dobing and Parsons, 2006; Grossman et al., 2005), we will conduct a personal opinion survey (Groves et al., 2009) asking to UML users of different kinds (e.g., industrial practitioners and academics) which parts of the UML they know and which they have never used.

For a given UML diagram/construct, we have proceeded as follows. We have investigated the books to discover if they were citing such diagram/construct, and if they were giving an example of it. Similarly for the courses/tutorials, whereas for the tools we

have tried to produce a model containing such diagram/construct (notice that we speak of a model not of a diagram, since there are several UML constructs that cannot be shown in a diagram). Finally, we have computed descriptive statistics to present the results. In this study, we analysed 30 books, 20 tools, 22 courses, and 18 tutorials.

The results of this survey, and of the future personal opinion survey should be of help to many different categories of people:

- teachers and instructors: allowing to offer courses and/or tutorials concentrating only a smaller language made out of the most used UML diagrams/constructs;
- tool builders/users: obvious advantages since the tools covering the most used diagrams/constructs will be simpler to implement/use;
- notation designers: interested in discovering scarcely used constructs, and understanding for which reasons they have been added to the language. Moreover, other interesting questions arise: are the scarcely used constructs derived¹ or primitive? Can the scarcely used constructs be applied only in specific cases? It will be interesting to investigate whether the metamodel (and subsequently the UML specification book) may be easily simplified to cover only the most used constructs.

In our opinion having to handle a notation with a large set of constructs where a portion of them are scarcely used, if not almost unknown, is problematic because it can cause a waste of effort and resources by who want/must use it (e.g., there are countries where some contracts with the public administration must be accompanied by a UML model). Indeed, trivially, to print the reference document requires 700 sheets, but also understanding the metamodel/preparing for the certification/deciding what to teach to the students/reading a UML book require a large number of hours; and we do not have to forget that also maintaining the official specification and any related item requires a large amount of effort due to its size.

Also the OMG has recently recognised the need to simplify the UML with the initiative "UML Simplification" (Seidewitz, 2012) which will result in the next UML version (2.5), but in this case the simplification concerns only the way UML is defined without any impact about its constructs.

In a previous phase of this survey (Reggio et al., 2013), we analysed the usage level of the 14 types of UML diagrams and we found that, Activity and

Class diagrams are the most used. For this reason, in this paper we present the results of a following step of our survey focusing on the usage level of the Activity diagram constructs covering books, courses/tutorials, and tools. The remainder of the paper is organized as follows. In Section 2, we present related work literature regarding empirical study about the UML. In Section 3, we sketch the relevant aspects of the conducted survey such as: goals, research questions, followed process and analysis methodology. The results of the survey about Activity diagram's constructs are presented in Section 4, preceded by a summary of the results on the UML diagrams shown in (Reggio et al., 2013), while threats to validity are discussed in Section 5. Finally, Section 6 concludes the paper.

2 Related Work

The systematic literature review by Mohagheghi et al. (Mohagheghi et al., 2009) about model-based software development states that "the UML is currently the most widely used modelling language". A similar result has also been obtained in (Torchiano et al., 2013) where a personal opinion survey with 155 Italian professionals has been conducted.

Another personal opinion survey about UML (171 professionals in total), by Dobing and Parsons (Dobing and Parsons, 2006), points out another strong statement: "regular usage of UML components were lower than expected". The authors of (Dobing and Parsons, 2006) suggest that the difficulty of understanding many of the notations "support the argument that the UML may be too complex". The same claim, in more or less different forms, is present in several blogs, where several proposals of UML simplification are arising². Maybe, the most authoritative is the one of Ivar Jacobson entitled "Taking the temperature of UML" (Jacobson, 2009), where he wrote: "Still, UML has become complex and clumsy. For 80% of all software only 20% of UML is needed. However, it is not easy to find the subset of UML which we would call the 'Essential' UML. We must make UML smarter to use". The need to simplify the UML is also shown by the recently released OMG draft proposal about this topic (Seidewitz, 2012). Moreover, the complexity of the UML seems to be one of the factors that limit its diffusion and usage in the industry (Petre, 2013): "UML is considered unnecessarily complex" and understanding its notation could require a considerable overhead.

¹A derived construct may be replaced by a combination of other constructs.

 $^{^2}e.g., www.devx.com/architect/Article/45694\ and \\blogs.msdn.com/b/sonuarora/archive/2009/11/02/simplify-uml.aspx$

In the tentative to find the "essential UML", Erickson and Siau (Erickson and Siau, 2007) have conducted a Delphi study³ with the goal of identifying a UML kernel for three well-known UML application areas: Real-Time, Web-based, and Enterprise systems. The participants to the study (44 experts in total) were asked to rate the relative importance of the various UML diagrams in building systems. UML overall results (i.e., non-domain specific) were: 100% for Class and Statechart diagrams, 95.5% for Sequence diagrams, 90.9% for Use Case diagrams. All the others diagrams received a percentage lesser than 50% (e.g., 27.3% for Activity diagrams). Another personal opinion survey (sample size = 131) about UML (Grossman et al., 2005) confirms the results of Erickson and Siau. Results indicate that the three most important diagrams are Use Case diagrams, Class diagrams and Sequence diagrams.

The main conclusions from another systematic literature review by Budgen et al. (Budgen et al., 2011) about empirical evidence of the UML are two:

- while there are many studies that use the UML in some way, including to assess other topics, there are relatively few for which the UML is itself the object of study, and hence that assess the UML in some way (e.g., UML studies of adoption and use in the field).
- there is a need to study the UML and its elements much more rigorously and to identify which features are valuable, and which could be discarded.

Our preliminary empirical work, much in the spirit of the Erickson and Siau's work but using a different approach, tries to add a small tile to the empirical knowledge about the UML as requested in the first conclusion of the Budgen's systematic literature review. We could say that our work tries to identify which UML features (diagrams and constructs) are valuable, and which could be discarded — as requested in the second point of the Budgen's work — equating the word "valuable" with "used in practice" and the concept "could be discarded" with "not used in practice".

3 Study Definition

The instrument we selected to take a snapshot of the state of the practice concerning UML usage is that of a survey. In the survey's design and execution phases we followed as much as possible the guidelines provided in (Groves et al., 2009) and used the same presentation format of (Torchiano et al., 2011).

The survey has been conducted through the following steps: (1) goals selection, (2) goals transformation into research questions, (3) identification of the population, sample and process, (4) data extraction, and (5) analysis of results and packaging.

We conceived and designed the survey with the **goal** of understanding which are the less/most used parts of the UML in practice.

Within the scope of this work, in this paper we aim at addressing the main **research question** related to the above described goal:

RQ: Which UML constructs for the Activity Diagrams are the most/less used in practice?

3.1 Population Identification

The first step to conduct a survey is defining a target population. The target population of our study consists of *sources* concerning UML. In particular, in this study we considered the following four kinds of objective sources: books, tools, courses and tutorials. As future work, we will conduct a personal opinion survey with industrial practitioners and academics to understand which parts of the UML they know, which they use, and which they have never used.

To sample the population and select the sources to consider in our study we: (1) conducted a systematic search performed using Internet resources, Web search engines and electronic databases and (2) used non-probabilistic (convenience sampling) methods (Kitchenham and Pfleeger, 2008). Moreover, in making decisions about whether (or not) to include a source in the study, we adopted some inclusion/exclusion criteria (see below).

Inclusion and Exclusion Criteria

The inclusion/exclusion criteria can be common for all the kind of sources or specific.

For all the sources we adopted the following inclusion criterion: only sources concerning UML versions > 2.0.

Concerning books, in case of different editions of the same book we opted (when possible) for the last one. Moreover, we excluded elements of "grey" literature, i.e., books without ISBN.

Concerning tools, we included only UML modelling tools (both commercial and non-commercial) and excluded: (1) general graphics editor (e.g., Inkscape), (2) tools providing only a specific type of diagram (e.g., class diagrams), (3) really unstable, not

³It attempts to form a reliable consensus of a group of experts in specialized areas.

	Title	Edition	Author(s)	Year	Publisher
	UML 2.0 in a Nutshell	1st	D. Pilone, N. Pitman	2005	O'Reilly Media Inc.
nides	The Elements of UML 2.0 Style	1st	S. W. Ambler	2005	Cambridge University Press
	Sams Teach Yourself UML in 24 Hours	3rd	J. Schmuller	2004	Sams Publishing
	UML 2 Certification Guide: Fundamental & Intermediate Exams	1st	T. Welkiens, B. Oestereich	2006	Morgan Kaufmann Publishers
	UML Distilled: A Brief Guide to the Standard Object Modeling Language	3rd	M. Fowler	2003	Addison-Wesley
	Learning UML 2.0	1st	R. Miles, K. Hamilton	2006	O'Reilly Media Inc.
S G	UML 2 for Dummies	1st	M. J. Chonoles, J. A. Schardt	2003	Wiley Publishing Inc.
otatio	UML 2 Toolkit	2nd	H. E. Eriksson, M. Penker, B. Lyons, D. Fado	2004	Wiley Publishing Inc.
	UML 2.0 in Action	1st	P. Grassle, H. Baumann, P. Baumann	2005	Packt Publishing Ltd
₹	UML Bible	1st	T. Pender	2003	Wiley Publishing Inc.
_	UML Demystified	1st	P. Kimmel	2005	McGraw-Hill
	UML for the IT Business Analyst	1st	H. Podeswa	2005	Muska & Lipman Pub
	Verification and Validation for Quality of UML 2.0 Models	1st	B. Unhelkar	2005	John Wiley & Sons
	The Unified Modeling Language Reference Manual	2nd	J. Rumbaugh, I. Jacobson, G. Booch	2005	Addison-Wesley
	The Unified Modeling Language User Guide	2nd	G. Booch, J. Rumbaugh, I. Jacobson	2005	Addison-Wesley
	Object-Oriented Software Engineering Using UML, Patterns and Java	3rd	B. Bruegge, A. H. Dutoit	2010	Prentice Hall
	System Analysis & Design with UML version 2.0: An Object-Oriented Approach	3rd	A. Dennis, B. H. Wixom, D. Tegarden	2009	John Wiley & Sons
6	UML 2 and the Unified Process: Practical Object-Oriented Analysis & Design	2nd	J. Arlow, I. Neustadt	2005	Addison-Wesley
sed	UML 2 Semantics and Applications	1st	K. Lano	2009	John Wiley & Sons
s ba	Object-Oriented Analysis & Design: Understanding System Development with UML 2.0	1st	M. O'Docherty	2005	John Wiley & Sons
8	Using UML: Software Engineering with Objects and Components	2nd	P. Stevens, R. Pooley	2006	Addison-Wesley
g	UML 2 Pour les bases de donnees	1st	C. Soutou	2007	Éditions Eyrolles
Æ	Fast Track UML 2.0	1st	K. Scott	2004	Apress Media LLC
Enginee	Model-Driven Development with Executable UML	1st	D. Milicev	2009	Wiley Publishing Inc.
	Professional Application Lifecycle Management with Visual Studio 2010	1st	M. Gousset, B. Keller, A. Krishnamoorthy, M. Woodward	2010	Wiley Publishing Inc.
9	Software Modeling and Design	1st	H. Gomaa	2011	Cambridge University Press
¥	Systems Engineering with SysML UML: Modeling, Analysis, Design	1st	T. Weilkiens		Morgan Kaufmann Publishers
)of	Use Case Driven Object Modeling with UML: Theory and Practice	1st	D. Rosenberg, M. Stephens		Apress Media LLC
	Management of The Object-Oriented Development Process	1st	L. Liu, B. Roussev	2006	Idea Group Inc.
	Real-Time Object Uniform Design Methodology with UML	1st	B. M. Duc	2007	Springer

Figure 1: UML Books Considered

complete or preliminary tools (e.g., tools in beta version)

About courses, we considered only university courses concerning IT studies. We considered courses offered also in languages different from English but known or understood by the authors (e.g., French, Italian and Spanish).

Concerning tutorials, we considered only tutorials provided on Internet as written documents (either on-line or downloadable) and video (where a person gives instructions on how to do something) but we have excluded tutorials taking the form of a screen recording (screencast) and interactive tutorials. For selecting a document of this kind we used the common meaning/perception of tutorials: a tutorial is more interactive and specific than a book or a lecture; a tutorial seeks to teach by example.

3.2 The Process

The process followed to conduct a survey should be as much as possible well defined in order to ensure that such a study can be objective and repeatable. For each category of sources, we followed a different process to collect them.

Books. We started by the Amazon website⁴ and used the search form to find UML related books. We selected the "Computers & Technology" category in the books section. Then, we experimented with several different search criteria using different combinations of strings. Finally, the one that retrieved the highest number of useful items was the simple string "UML 2". Starting from this long list of books ordered by relevance⁵ we filtered out books not satisfying the inclusion criteria explained above. Then, we tried to recover them using the facilities provided by our library. Finally, we collected and analysed 30 books. Note that, 18 of them are in the top 24 books ranked ordering the list by relevance by Amazon. The list of the selected books is shown in Figure 1. More in detail, we have selected two kinds

⁴www.amazon.com

⁵2.726 books on July 20, 2013.

Name	Release	Year	Licence	Web Site
Altova Umodel		2012	Commercial (Enterprise – Trial)	www.altova.com/umodel.html
Artisan Studio	v. 7.4	2012	Commercial (Trial)	www.atego.com/products/artisan-studio/
Astah	v. 6.6	2012	Commercial (Community Edition)	astah.net/
Borland Together	v. 12.0	2012	Commercial (Trial)	www.borland.com/products/together/
BOUML	v. 6.4.3	2013	Commercial (Viewer - Limited)	www.bouml.fr/
Enterprise Architect	v. 10 Build 1006	2013	Commercial (Trial 30 days)	www.sparxsystems.eu/enterprisearchitect/
IBM Rational Rhapsody Modeler	v. 7.5	2009	Free	www-01.ibm.com/software/awdtools/modeler/
IBM Rational SW Architect	v. 8.5.1	2012	Commercial (Trial 30 days)	www-01.ibm.com/software/awdtools/swarchitect/
MagicDraw	v. 17.0.3	2012	Commercial (Enterprise – Trial)	https://www.magicdraw.com/
Metamill	v. 6.1	2012	Commercial (Trial)	www.metamill.com/
Modelio	v. 2.2.1	2012	Free	sourceforge.net/projects/modeliouml/
Open Modelsphere	v. 3.2	2012	Free	www.modelsphere.org/
Papyrus	v. 0.9.1	2012	Free (Eclipse Plug in)	www.eclipse.org/papyrus/
Poseidon for UML	v. 8	2009	Commercial (Community Edition)	www.gentleware.com/
Power Designer	v. 16.1	2012	Commercial (Trial)	www.sybase.com/products/
RedKoda	v. 3.0.7	2012	Commercial (Community Edition)	www.redkoda.com/
Software Ideas Modeler	v. 5.82	2013	Free	www.softwareideas.net/
StarUML	v. 5.0.2.1570	2006	Free	staruml.sourceforge.net/
Violet	v. 0.21.1	2007	Free	sourceforge.net/projects/violet/
Visual Paradigm	10.1 Build 20130304	2013	Commercial (Community Edition)	www.visual-paradigm.com/product/vpuml/

Figure 2: UML Tools Considered

Lecturer	Country	Title	Year	Web Site
Afsarmanesh	Netherlands	Project Analysis	2012	www.science.uva.nl/CO-IM/teaching/Project_Analysis_2012/lectures.html
De Angelis	Italy	Lab. Ingegneria del SW	2012/13	labsewiki.isti.cnr.it/projects/gulyx/univaq/labse20112012
Ciancarini, Iorio	Italy	Lab. Ingegneria del SW	2012/13	www.cs.unibo.it/~cianca/wwwpages/labisw
Vincent	Australia	System Analysis and Modeling	2012/13	$learn on line. can be \textit{rra}. edu. au/course/view.php?id=7012\&cal_m=2\&cal_y=2013$
Casalicchio	Italy	Progettazione SW	2009/10	www.ce.uniroma2.it/courses/PSW/
Gérard	France	UML		lipn.univ-paris13.fr/~gerard/enseignement.php
Prié	France	Systèmes d'information méthodes avancées	2011/12	pagesperso.lina.univ-nantes.fr/~prie-y/ens/11-12/SIMA/index.html/
Felici	UK	Software Engineering with Objects and Components	2011/12	www.inf.ed.ac.uk/teaching/courses/seoc/2011_2012/notes/
Siebers	UK	Object Oriented Systems	2012/13	www.cs.nott.ac.uk/~pos/g64oos/2012-2013/index.html
Varrò	Hungary	Modellalapú szoftvertervezés	2012	www.inf.mit.bme.hu/en/edu/courses/mdsd/materials
Lehre	Germany	Softwaretechnik	2012/13	www.pst.ifi.lmu.de/Lehre/wise-12-13/swtechnik/materialien
Rumpe	Germany	Modellbasierte Softwareentwicklung	2011/12	mbse.se-rwth.de/
Correo, Rossi	Argentina	Uml Basico		www.lifia.info.unlp.edu.ar/es/
Brambilla	Italy	Ingegneria del SW	2012/13	dbgroup.como.polimi.it/brambilla/
Alkan	Turkey	Object Oriented Software Engineering	2012/13	www.cs.bilkent.edu.tr/~calkan/teaching/cs319/slides.html
Farrow	UK	Software Engineering	2012/13	www.macs.hw.ac.uk/~monica/Modules/S1/SEFound/timetable1213.html
Easterbrook	Canada	Engineering Large SW Systems	2012	www.cs.toronto.edu/~sme/CSC302/
Negre	France	Ingéniérie des Systèmes d'Information	2012/13	www.lamsade.dauphine.fr/~negre/coursfr.html
Sellares	Spain	Enginyeria del Software	2008/09	ima.udg.edu/~sellares/ETIG-ES/index.html
Jezequel	France	Approche objet pour le développement de logiciels par objets avec UML		people.irisa.fr/Jean-Marc.Jezequel/enseignement/DvpObjetUML.htm
Turgut	US	Software Engineering I	2009	www.cs.ucf.edu/~turgut/COURSES/EEL5881_SEI_Spr09/
Cheng	US	Advanced Software Engineering	2013	www.cse.msu.edu/~cse870/lectures.php

Figure 3: UML Courses Considered

of books. UML guides (UML Notation Guides) and books using UML as a notation, i.e., books where UML is not the primary subject (Software Engineering books based on UML).

Tools. We started by the "List of Unified Modeling Language tools" Wikipedia page⁶ containing 49

UML tools. Then, we considered also the UML-tools website⁷. A full Internet search was also carried out using Google. Also in this case, we experimented with several different search criteria using different combinations of strings to provide to Google ("UML tools", "UML tools list" and "UML free tools").

 $^{^{6} {\}it en.wikipedia.org/wiki/List_of_Unified_Modeling_Language_tools}$

⁷www.uml-tools.com

Author / Source	Title	Web Site
Allen Holub	Allen Holub's UML Quick Reference	www.holub.com/goodies/uml/index.html
Analisi-disegno	Introduzione a UML	www.analisi-disegno.com/uml/uml.htm
Crag Systems	A UML Tutorial Introduction	www.cragsystems.co.uk/uml_tutorial/index.htm
devmentor	UML Guide v2.1	devmentor.org/references/uml/uml.php
Dumke	UML Tutorial	www-ivs.cs.uni-magdeburg.de/~dumke/UML/index.htm
Embarcadero	Practical UML: A Hands-On Introduction for Developers	edn.embarcadero.com/article/31863
HTML.it	Guida UML	www.html.it/guide/guida-uml/
John Deacon	Developer's Guide to UML 2: A UML Tutorial	www.johndeacon.net/UML/UML_Appendix/Generated/UML_Appendix.asp
lemiffe	Reference Guide for UML 2.0	www.lemiffe.com/wp-content/uploads/2008/12/uml2.pdf
New Think Tank	Video Tutorials	www.newthinktank.com/2012/11/
Online Teach	UML Training	www.online-teach.com/u-m-l.php
Parlezuml	UML Tutorial	www.codemanship.co.uk/parlezuml/
Richard Botting	A Beginners Guide to The Unified Modeling Language (UML)	www.csci.csusb.edu/dick/cs201/uml.html
SmartDraw	What is UML?	www.smartdraw.com/resources/tutorials/uml-diagrams/
Sparx Systems	UML 2 Tutorial	www.sparxsystems.com.au/resources/uml2_tutorial/index.html
Storrle & Knapp	Unified Modeling Language 2.0	www.pst.ifi.lmu.de/veroeffentlichungen/UML-2.0-Tutorial.pdf
Uml.free	UML, le langage de modélisation objet unifié	uml.free.fr/index-cours.html
uml-diagrams	UML 2.5 Diagrams Overview	www.uml-diagrams.org/uml-25-diagrams.html

Figure 4: UML Tutorials Considered

For each tool of our list, we found the official website and checked whether it was satisfying the inclusion criteria explained above. Then, we downloaded and installed the most recent version of all the selected tools. In case of commercial tools, we selected a "free for not commercial use" version or a version with university licence or a trial version. At the end, we collected and analysed 20 different tools. The complete list of tools is shown in Figure 2. Finally, we tried to produce a model containing the constructs of interest for our study (for each tool we produced the same model with the same diagrams and the same UML constructs). Notice that Argo UML, one of the most known UML tool was not included in our survey since it does support UML 1.x only.

Courses. We started carrying out a search using Google. The combinations of strings used were: "UML course", "UML lecture" and "UML university course". We found several university courses satisfying the inclusion criteria stated above, but in several cases it was difficult, if not impossible, to recover the slides of the lectures, and in general the material. Often, the material was not publicly available; only the content of the lessons was present on the website. For this reason, we resort also to convenience sampling, asking to our colleagues the slides of UML courses they teach. At the end, we collected and analysed 22 different University courses. The complete list of lectures is shown in Figure 3. Convenience sampling was also useful to balance a little the geographic origin of the UML courses (e.g., before convenience sampling we had three courses from France and zero from USA).

Tutorials. We started with the tutorial lists present in the following three websites: www.uml.org⁸, stackoverflow.com⁹ and www.jeckle.de¹⁰. Then, we integrated the obtained results with other tutorials recovered using Google (the research was conducted using the strings: "UML tutorials" and "UML guide"). Finally, we collected and analysed 18 tutorials. The complete list of tutorials is shown in Figure 4.

4 Survey Results

After having collected the sources, we extracted the data of interest for our research questions and finally we performed the analysis. Given the nature of this survey, that is mainly descriptive (it describes some condition or factor found in a population in terms of its frequency and impact) and exploratory, we mainly applied descriptive statistics and showed our findings by means of charts.

We preliminarily decided to interpret the results of our survey assuming that a diagram/construct is widely used if it is present in the 60% or more of the sources, similarly it is scarcely used if it is present in the 40% or less of the sources, having also some non-defined cases (grey zone). In the following subsections we briefly summarize the results concerning UML diagrams, see (Reggio et al., 2013) for the details, and present the ones for the activity diagram constructs.

⁸www.uml.org/#Links-Tutorials

⁹stackoverflow.com/questions/1661961/ recommended-uml-tutorials

 $^{^{10} {\}rm www.jeckle.de/umllinks.htm\#tutorials}$

UML Diagram	Book Guide	Book Spec	Book Tot	Tool	Course	Tutorial	All Sources
Class	100%	100%	100%	100%	100%	100%	100%
Activity	100%	93%	97%	100%	95%	100%	98%
Sequence	100%	93%	97%	100%	100%	89%	97%
Use Case	100%	93%	97%	100%	95%	89%	96%
State Machine	100%	93%	97%	100%	95%	89%	96%
Communication	100%	80%	90%	90%	59%	89%	82%
Component	93%	80%	87%	85%	59%	89%	80%
Deployment	93%	80%	87%	90%	55%	89%	80%
Object	93%	80%	87%	70%	55%	67%	71%
Package	100%	79%	89%	65%	52%	67%	70%
Composite Structure	87%	60%	73%	80%	14%	33%	52%
Timing	87%	53%	70%	40%	5%	33%	40%
Interaction Overview	80%	53%	67%	45%	5%	28%	39%
Profile	7%	13%	10%	30%	0%	6%	11%

Figure 5: Usage levels of UML diagrams

Book Guide = UML Notation Guides, Book Spec = Software Engineering books based on UML, Book Tot = All books
Dashed lines represent the 40% and 60% thresholds

4.1 Level of Usage of the UML Diagrams

The level of usage of the various UML diagrams in books, courses, tutorials, tools, and in the totality of the sources respectively is summarized in Figure 5.

If we consider the totality of the sources, disregarding their kind, we have that the scarcely used diagrams are timing, interaction overview and profile, listed starting from the most used; all of them were not present in UML 1.x, and the profile diagram appeared only in version 2.2. The last position of the profile diagram is not very surprising due both to the late appearance and to the fact that this kind of diagram has a very restrict scope (indeed it is used only to present a profile) and that, it is essentially a variant of the package diagram. Also timing diagrams have a restrict scope, and UML offers other ways to model time related aspects (e.g., timed events may be used in state machines and activity diagrams; durations and time intervals may appear in sequence diagrams), and this may be the motivation for their low usage. Finally, interaction overview diagrams are quite complex and in many cases may be replaced by sequence diagrams and/or a combination of sequence and activity diagrams, and perhaps this is the reason for not being so considered.

The widely used diagrams, when considering the totality of the sources, are instead, listed again starting from the most used ones, class (100% in any kind of sources), activity, sequence, state machine and use cases, communication, deployment, component, object and package diagrams. The first position of class diagrams is not surprising, it is indeed the main building block of the UML, while the fact that activity diagrams are the second is relevant and is due, in our opinion, to the fact that they are used also for business process modelling (Reggio et al., 2012) and for describing SOA based systems (Leotta et al., 2012; Leotta et al., 2011). All the widely used diagrams, except the package diagram, were already present in the UML 1.x versions (although the communication diagrams were before called collaboration diagrams).

The only diagram in the grey area (i.e., above 40% and below 60%) is the composite structure, which allows to represent both structured classes and collaborations; again it is a new diagram appearing in the UML 2.0 and this may be a reason for its low usage. However, the result is surprising because structured classes were completely absent in UML 1.x, and this was a perceived problem, and the new collaborations are truly useful (see for example the big role that they have in representing service oriented architectures in the SoaML OMG standard profile (OMG, 2012)). For a more complete analysis of the results and a deeper discussion see (Reggio et al., 2013).

4.2 Level of Usage of the Activity Diagram Constructs

The number of available constructs in activity diagrams is 47, a truly large number, and as it is shown in Figure 8 a lot of them are scarcely used (their description and some examples may be found in the UML specification (UML Revision Task Force, 2011)).

If we consider the totality of our sources disregarding the fact that they are of different kind, we have that the widely used constructs are only $\mathbf{9}$ (nine), precisely Action and Control Flow Edge (both 99%), Initial and Final Node (98%), Decision/Merge Nodes (97%), Fork/Join Nodes (94%), Activity Partition, i.e., swimlane (82%), Object Node (79%), and Object Flow Edge (75%)¹¹. Some of them are used in the Activity diagram shown in Figure 6.

Those scarcely used, i.e., with a percentage less than 40% are 31, and in 8 cases the percentage is

¹¹ This surprising result, since it is not possible to connect an object node to other nodes without using the object flow, is due to the fact that in some courses the classification of the arcs in activity diagrams in control and object flow was not mentioned.

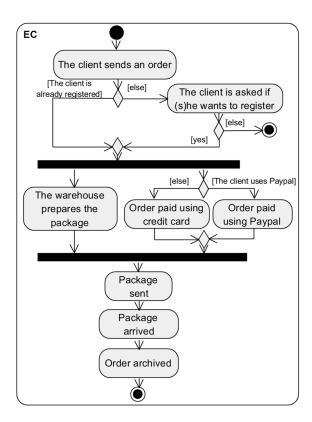


Figure 6: Example of Activity Diagram built with the six most used constructs (beyond the 90%)



Figure 7: Activity parameter set, usage level 10% in the totality of the sources

lower than 10%. One of the least used constructs Parameter Set is shown in Figure 7, it is used to provide alternative sets of inputs or outputs that a behaviour, and thus an activity, may use.

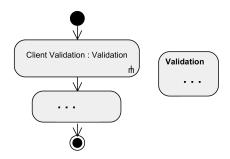
The fact that Activity is in the grey area is a little surprising since Activity is the construct that together with Call Behaviour Action (rake), again in the grey area, allows to structure complex activity diagrams, also if we suspect that in some cases Activity is considered but not precisely indicated with its proper name. See an example of Call Behaviour Action (rake) in Figure 9.

We briefly discuss two of the least used constructs (resulting used only in 3% of the sources): Input and Output Effects for Object Node and Decision Node with Input Flow. The semantics of the first one is not very clear "Specifying the effect that the behaviour of actions has on the objects passed in and out of their parameters can be represented by placing the effect in braces near the edge leading to or from the pin for the parameter" see (UML Revision Task Force, 2011). Indeed, it may be interpreted as a kind of constraint (the preceding/following action should be such that to produce the depicted effects) and so it is not clear the difference with the local pre-post conditions. Otherwise, it may be considered as a kind of comment making explicit some effects already defined by the behaviour of the preceding/following action. In the latter case it may be replaced by a comment. Also the semantics of Decision Node with Input Flow is quite complex, see (UML Revision Task Force, 2011)[371-372], but this construct may be considered as derived (it may be replaced by combining the normal and the extra input tokens of the decision node by means of a new data structure). We think that however in these two cases one of the reasons of the fact that they are neglected is the complexity of their definition.

One of the possible reasons, for the quite surprising result summarized in Figure 8, is that in UML 1.x there were few constructs for the activity diagrams, more or less those resulting widely used in our survey, and so those resulting scarcely used are quite new. Another reason for these constructs being so unpopular is that many of them are not depicted visually

Activity Diagram Construct	Book Guide	Book Spec	Book Tot	Tool	Course	Tutorial	All Sources
Action	100%	93%	97%	100%	100%	100%	99%
Control Flow Edge	100%	93%	97%	100%	100%	100%	99%
Final Node	100%	93%	97%	100%	100%	94%	98%
Initial Node	100%	93%	97%	100%	100%	94%	98%
Decision/Merge Nodes	100%	87%	93%	100%	100%	94%	97%
Fork/Join Nodes	100%	93%	97%	100%	100%	78%	94%
Activity Partition	100%	67%	83%	85%	81%	78%	82%
Object Node	100%	73%	87%	95%	67%	61%	79%
Object Flow Edge	100%	60%	80%	95%	62%	61%	75%
Accept Event Action	60%	40%	50%	95%	38%	28%	53%
Activity	93%	60%	77%	55%	38%	28%	53%
Flow Final Node	80%	40%	60%	85%	29%	28%	52%
Send Signal Node	60%	47%	53%	95%	33%	17%	51%
Action Pin	67%	40%	53%	75%	19%	28%	45%
Named Activity Edge	27%	20%	23%	95%	33%	28%	43%
Object Node for Objects in Specific States	53%	27%	40%	50%	38%	39%	42%
Call Behaviour Action (rake)	33%	40%	37%	65%	29%	22%	38%
Activity Parameters	67%	33%	50%	50%	19%	22%	37%
Accept Timed Event	53%	27%	40%	55%	24%	11%	34%
Expansion Region	53%	33%	43%	50%	10%	22%	33%
Exception Handler	47%	27%	37%	50%	10%	22%	30%
Interruptible Activity Region	33%	27%	30%	50%	14%	22%	29%
Activity Edge Connector	73%	27%	50%	10%	14%	22%	27%
Data Store	33%	13%	23%	55%	5%	17%	25%
Central Buffer Node	27%	20%	23%	55%	5%	6%	22%
Behaviour Specification for Object Flows	33%	13%	23%	40%	10%	11%	21%
Local Pre-Post Condition	53%	20%	37%	30%	0%	11%	21%
Weighted Activity Edge	20%	13%	17%	55%	5%	11%	21%
Decision Node with Behaviour	33%	27%	30%	25%	5%	6%	18%
Object Node Ordering Kind	13%	13%	13%	55%	0%	6%	18%
Structured Nodes/Activities	27%	20%	23%	40%	5%	0%	18%
Activity Partition Textually Presented	47%	13%	30%	0%	19%	11%	17%
Object Node with a Limited Upper Bound	13%	20%	17%	45%	0%	6%	17%
Exception Pin	27%	13%	20%	20%	5%	11%	15%
Selection Specification for Object Flow	13%	7%	10%	35%	5%	6%	13%
Join Specification	20%	7%	13%	30%	5%	0%	12%
Stream Pin	20%	20%	20%	15%	0%	11%	12%
Value Pin	13%	7%	10%	40%	0%	0%	12%
Parameter Set (Activity Parameters)	33%	13%	23%	5%	0%	6%	10%
Selection Specification for Object Node	7%	7%	7%	30%	0%	0%	9%
Object Node for Tokens with Signal as Typ	pe 33%	13%	23%	0%	0%	0%	8%
Parameter Effect Kind	7%	7%	7%	20%	0%	0%	7%
Activity Exception Parameters	13%	0%	7%	15%	0%	0%	6%
Activity Stream Parameters	7%	7%	7%	15%	0%	0%	6%
Stream Edge							
Oli cam Lage	13%	7%	10%	5%	0%	0%	4%
Decision Node with Input Flow		7% 0%	10% 0%	5% 15%	0% 0%	0% 0%	

Figure 8: Usage levels of Activity Diagram constructs



The first action of the activity diagram on the left is a call to the behaviour defined elsewhere by the activity Validation Figure 9: Call Behaviour Action (Rake) construct, usage level 38% in the totality of the sources

by the tools on the activity diagrams (for example, Object Node Ordering Kind may be defined using the Visual Paradigm tool for an Object Node by means of the property panel but cannot be visualized).

In our opinion, some of the constructs classified by our survey as scarcely used or in the grey area may be useful for modelling workflows and business processes (Di Cerbo et al., 2011; Leotta et al., 2012; Reggio et al., 2012; Reggio et al., 2011a), e.g., Flow Final Node, Accept Event Action, Send Signal Node, Activity and the constructs related with exception handling; whereas many others are just a kind of derived constructs, i.e., they may be replaced by an equivalent activity diagram fragment (e.g., Value Pin). We think that the future personal survey with UML users should involve many subjects active in the field of workflow/business modelling to get more relevant knowledge about the usage level of these constructs.

If we examine the usage data considering separately the various kind of sources, we have that the tools and the books are "using" a greater number of constructs, whereas courses and tutorials are considering less constructs. Precisely the numbers of scarcely used/widely used constructs for the various kinds are:

- books guide: scarcely used 25 and widely used 16,
- books spec: scarcely used 36 and widely used 10,
- books tot (i.e., all books): scarcely used 30 and widely used 11,
- tools: scarcely used 20 and widely used 15,
- courses: scarcely used 38 and widely used 9,
- tutorials: scarcely used 38 and widely used 9.

Moreover, three constructs Accept Event Action, Action Pin and Flow Final Node result widely used for both books guide and tools. The percentages relative to courses and tutorials are really striking; the considered activity diagrams constructs are just 1/5 of those available. Finally, looking at Figure 8, it is interesting to note that the usage levels among different sources, even if different in magnitude, have similar trends.

5 Threats to Validity

In our study a possible threat is the fact that when examining books, courses and tutorials we have decided to assume that a construct is used in that source if it is mentioned without making a deeper analysis to measure how much detailed was presented or taught. Unfortunately, it is really difficult to devise a better metric; for example, trying to distinguish if a diagram is just mentioned, shortly presented, presented, and presented with all the details may be too much depending on the personal judgement of who examines the textual sources; also counting the occurrence of the name of a construct is in our opinion too dependent on the way the texts are written, e.g., more or less verbose. We have also tried to distinguish the case of a simple mention of a construct in the text and the presence of an example of such construct, without detecting a relevant difference.

To avoid to bias the results of our survey, we have considered only sources concerned with the use of the UML, avoiding those with different aims, for example drawing tools suitable to produce pictures of UML diagrams, or books presenting a survey on the current visual notations have been excluded; whereas instead books covering specific use of the UML or courses about software engineering where the UML was taught were included.

Concerning the textual sources, we have considered only books/courses/tutorials presented using languages understood by the authors (i.e., English, Italian, French and Spanish). For the courses the limitation on the language is less problematic, indeed many of the courses presented using the English language are taught in countries where the English is not the mother tongue (e.g., Hungary and Turkey).

For the tools, instead, we are quite confident to have examined almost all the available ones; we think that a UML tool cannot exist without being presented somewhere on the Web. Notice that Argo UML, one of the most known UML tool was not included in our survey since it does support only UML 1.x.

We have considered here only four kinds of sources (books, courses, tutorials, sources) and we are aware that these are not the only ones; indeed there are also the UML users, and we are ready to launch a personal survey to investigate which constructs they know and which they use. Instead, we do

not plan to make a literature survey examining which constructs are used in scientific papers in the area of modelling or of Model Driven Development, since this will be surely biased: e.g., there are few scientific papers about class diagrams, whereas the newest and the most problematic constructs will appear in many of them. However, literature survey covering applicative areas for modelling, for example concerning SOA (Service Oriented Architecture) or requirement engineering may give valuable insights.

The various diagrams/constructs of the UML have different ages, i.e., they were introduced in the UML at different times, some appeared in the UML 1.x, others in UML 2.0 and someone is still more recent (e.g., the profile diagram appeared only in UML 2.2). To mitigate this threat we have careful considered only sources that explicitly stated that were considering at least UML 2.0. A more refined analysis made considering as a source for a given construct only those dated after the official time of appearance of the same is unfeasible, also because we have the official date of appearance of a constructs, i.e., the official approval of the OMG document presenting it, but such document was already available to the community and so the construct was already know and used.

Finally, we have decided to define widely used (scarcely used) when a construct was considered in the $\geq 60\%$ ($\leq 40\%$) of the sources, resulting also in a grey area. We think that this a sensible choice, using a threshold lower than 60%, e.g., 50%, should have led to have that a construct is either widely used or scarcely used without any doubt cases, and this does not sounds realistic. On the other hand, a higher threshold, e.g., 80%, should have led to a quite large number of inconclusive cases.

We have also computed the widely/scarcely used on the totality of the sources, disregarding the fact that they are of very different kinds, e.g. books and courses, and so assigning them different weights would have been more realistic. Again, we had the problem to compute these weights in an unbiased way: is it sensible to say that a book is three times more relevant than a course, or that a tool is two times more relevant than a tutorial? To avoid to make our result too dependent on our personal judgement we have preferred to assume that all the sources have the same weight.

6 Conclusions

We have investigated, by means of a survey, the level of usage of the UML activity diagram constructs, considering in this paper four kinds of ob-

jective sources: books, tools, courses, and tutorials. The results of our survey show that, the level of usage varies considerably among the different constructs, and that for some of them it is really very low. However, it is important to note that, a low level of usage of a construct does not mean that it is useless. This could be caused by different factors, for example: (1) a construct may be replaced by a combination of other constructs (i.e., it is derived), (2) its existence may be not widely known, (3) its definition could be too complex and not very clear, and thus discouraging potential users, or finally, (4) it could be useful only in very specific and rare cases.

Results show that, a large majority of the 47 Activity diagrams constructs seem to be scarcely used. More precisely, 31 activity diagram constructs result scarcely used (in some cases the percentage of usage is less than 10%), while, only nine constructs result widely used by our survey: Action, Control Flow Edge, Initial/Final Node, Decision/Merge Nodes, Fork/Join Nodes, Activity Partition (i.e., swimlane), Object Node, and Object Flow Edge.

In this paper, we have considered only "objective" sources and examined them for checking if some UML constructs are used in an objective way (e.g., can a tool produce a model including such constructs?, is a course/tutorial teaching the fact that UML has such constructs?). For this reason, the results of this survey are not biased by any personal opinion (neither ours nor of any human being taking part in the examination of the sources). We are now investigating the usage of the other UML diagrams/constructs and launching a personal survey to investigate which UML diagrams/constructs are known and used by UML users trying to cover different categories of them, and different applicative fields. The combined results of this work and of the future personal opinion survey should lead to finally determine an "essential" UML.

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